



Constraints on Gamma-Ray Burst Models from GRB 221009A: GeV Gamma Rays versus High-energy Neutrinos

Ruo-Yu Liu^{1,2} , Hai-Ming Zhang^{1,2} , and Xiang-Yu Wang^{1,2} ¹ School of Astronomy and Space Science, Nanjing University, Nanjing 210023, People's Republic of China; ryliu@nju.edu.cn, hmzhang@nju.edu.cn² Key Laboratory of Modern Astronomy and Astrophysics (Nanjing University), Ministry of Education, Nanjing 210023, People's Republic of China

Received 2022 November 25; revised 2022 December 25; accepted 2022 December 29; published 2023 January 20

Abstract

Gamma-ray bursts (GRBs) are generally believed to be efficient particle accelerators. In the presence of energetic protons in a GRB jet, interactions between these protons and the intense radiation field of the GRB are supposed to induce an electromagnetic cascade. Electrons/positrons generated in the cascade will produce an additional spectrum of a robust feature, which is in the form of a power-law distribution up to a GeV regime with an index of $\lesssim 2$. We suggest that measurements of the Fermi Large Area Telescope at the GeV band can provide independent constraints on the key GRB model parameters such as the dissipation radius, the jet's bulk Lorentz factor, and the baryon loading factor. Taking GRB 221009A, the brightest GRB ever detected, as an example, we show that the constraints from GeV gamma-ray emission may be more stringent than that from the neutrino observation, providing us with deep insight into the origin of GRBs.

Unified Astronomy Thesaurus concepts: [Gamma-ray bursts \(629\)](#); [Neutrino astronomy \(1100\)](#); [Cosmic ray sources \(328\)](#)

1. Introduction

Gamma-ray bursts (GRBs) are the most violent explosions in the universe. With extreme physical processes and uncommon environments formed during the burst, they are widely considered as accelerators of ultra-high-energy cosmic rays (Milgrom & Usov 1995; Vietri 1995; Waxman 1995). Accelerated protons (and also heavier nuclei) in a GRB will inevitably interact with its intense radiation field via the photomeson process and Bethe-Heitler (BH) process, leading to the production of various high-energy secondary particles such as neutrinos (e.g., Waxman & Bahcall 1997; Guetta et al. 2004; Murase & Nagataki 2006) and electromagnetic (EM) particles (i.e., gamma-ray photons and electron/positron pairs). While neutrinos can leave the GRB once they are generated, EM particles would initiate the so-called EM cascade (e.g., Böttcher & Dermer 1998; Dermer & Atoyan 2006; Wang et al. 2018), depositing their energies to lower-energy pairs. The generated pairs will give rise to a broadband radiation, and possibly constitute an extra component of the spectrum at the GeV band with respect to the main component of the GRB's prompt emission in the keV–MeV band (Asano et al. 2009, 2010; Wang et al. 2018).

The IceCube neutrino telescope has searched for high-energy neutrinos associated with GRBs, but no positive detection has been achieved (Abbasi et al. 2012; Aartsen et al. 2015, 2017; Lucarelli et al. 2022). The null result poses a strong constraint on the key model parameters of GRBs, such as the energy dissipation radius, the bulk Lorentz factor of the GRB jet and the baryon loading factor of the jet, because the predicted neutrino flux highly depends on these parameters (He et al. 2012). On the other hand, the extra spectrum component from the EM cascade is in principle detectable at the GeV band

where the main burst component most likely has disappeared. In fact, the Fermi Large Area Telescope (LAT) has detected GeV gamma-ray emission from many GRBs during the prompt emission phase (Abdo et al. 2009; Ackermann et al. 2011; Tang et al. 2021), but the origin of these GeV photons is still unclear. The proton-induced EM cascade is one possible interpretation, whereas other processes such as the inverse Compton (IC) radiation of electrons in the prompt emission phase or in the early afterglow phase can also contribute to the observed GeV emission (Gupta & Zhang 2007; Kumar & Narayan 2009; Beloborodov et al. 2014; Wang et al. 2018; Zhang et al. 2022). Nevertheless, the measured GeV flux (or the upper limits in the case of null detection) in the prompt emission phase can be regarded as a upper limit (UL) for the proton-induced cascade emission, and provide independent constraints on the GRB models (Asano & Mészáros 2014).

GRB 221009A was recently discovered with a record-breaking fluence of roughly $0.052 \text{ erg cm}^{-2}$ in 10–1000 keV during its brightest phase between 200 and 600 s (Frederiks et al. 2022) after the trigger (Veres et al. 2022). As the brightest GRB ever detected, it released an isotropic-equivalent energy of about $3 \times 10^{54} \text{ erg}$ in the aforementioned energy range given a redshift of $z = 0.151$ (de Ugarte Postigo et al. 2022). The IceCube neutrino telescope found no track-like events from the direction of the GRB in a time range of $-1 \text{ hr}/+2 \text{ hr}$ from the trigger time, placing a muon-neutrino UL of $E_\nu^2 dN_\nu/dE_\nu = 3.9 \times 10^{-2} \text{ GeV cm}^{-2}$ at 90% confidence level (C.L.), under the assumption of an E_ν^{-2} power-law spectrum between 0.8 TeV and 1 PeV (IceCube Collaboration 2022). Very recently, some studies (Ai & Gao 2022; Murase et al. 2022) showed that the neutrino measurement could put useful constraints on the model of this GRB. We will show in this Letter that the measurement of Fermi-LAT on this GRB could put even stronger constraints on the model compared to neutrino observations, and has important implications for the origin of GRBs.

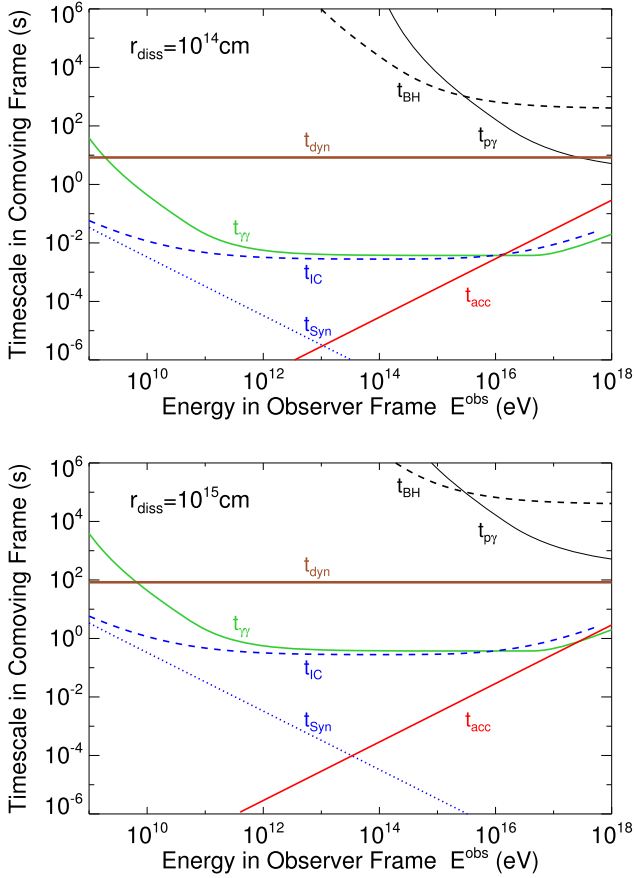


Figure 1. Timescales in the comoving frame of various processes. The solid and dashed black curves represent the energy loss timescales of protons via the photomeson process and BH process, respectively. The dashed and dotted blue curves show the cooling timescales of electrons via the IC radiation and synchrotron radiation, respectively. The green curve shows the $\gamma\gamma$ absorption timescale of photons. The solid brown curve presents the dynamical timescale of the energy dissipation. In both two panels, we assume $L_B = L_\gamma = 10^{53}$ erg s $^{-1}$, $\Gamma = 400$. The dissipation radius is different in the two panels where $r_{\text{diss}} = 10^{14}$ cm for the upper one while $r_{\text{diss}} = 10^{15}$ cm for the lower one.

2. Radiation from Electromagnetic Cascades Induced by Energetic Protons

Let us start by estimating the proton acceleration in GRBs. The most frequently discussed proton acceleration mechanism is the Fermi-type acceleration mechanism (e.g., by shocks) and the acceleration timescale of protons of energy E_p in the comoving frame can be given by $t_{\text{acc}} = (20/3)\xi^{-1}E_p/eBc$ (Rieger et al. 2007), where $\xi (\leq 1)$ represents the acceleration efficiency, and B is the magnetic field. Assuming energy equipartition between magnetic field and electrons, the magnetic luminosity of the GRB jet is roughly comparable to the bolometric luminosity L_γ considering the fast cooling of electrons in the prompt emission phase. We then can estimate the magnetic field in the jet's comoving frame to be $B = \sqrt{2L_\gamma/(r_{\text{diss}}^2\Gamma^2c)}$ with r_{diss} being the radius of the dissipation radius and Γ the bulk Lorentz factor. The maximum proton energy can be estimated by equating the acceleration timescale to the dynamical timescale $t_{\text{dyn}} = R/(\Gamma c)$ or the energy loss timescale of protons due to interactions with the radiation field of GRBs via the photomeson process and the BH process (see Figure 1, where we take $\xi = 0.1$). In the former process, a proton can interact with a photon of energy E_γ

through

$$p + \gamma \rightarrow p/n + \begin{cases} \pi^0 \rightarrow \gamma + \gamma \\ \pi^\pm \rightarrow e^\pm + \nu_\mu + \bar{\nu}_\mu + \nu_e \end{cases} \quad (1)$$

if $E_p E_\gamma \gtrsim 0.15 \text{ GeV}^2$, while in the latter process we have $p + \gamma \rightarrow p + e^- + e^+$ if $E_p E_\gamma \gtrsim 10^{-3} \text{ GeV}^2$, noting that E_p and E_γ here are measured in the comoving frame of the jet. The differential photon density of the GRB's prompt emission is usually described by the Band function (Band et al. 1993), which is a smoothly connected broken power-law function and can be characterized with the break energy E_b , and the low- and high-energy photon indexes α and β . Denoting the differential photon number density in the comoving frame of the dissipation region by $n_{\text{ph}}(E_\gamma)$, the relation between n_{ph} and L_γ can be given by $\int n_{\text{ph}} E_\gamma dE_\gamma = L_\gamma / 4\pi\Gamma^2 r_{\text{diss}}^2 c$.

Neutrinos generated in the photomeson process will leave the dissipation region directly. However, EM particles will interact with the radiation field and the magnetic field of the GRB: high-energy photons will be absorbed or annihilated with low-energy photons into pairs, and pairs, which are generated either in the annihilation, the photomeson process, or the BH process, will radiate via the synchrotron radiation and the IC scattering off the GRB's radiation field. High-energy photons radiated by pairs will be annihilated again as long as their energies are sufficiently high. Such a cycle of $e - \gamma$ conversion will be repeated many times, generating more and more low-energy secondary EM particles until the energies of newly generated photons drop below a critical energy at which the opacity of $\gamma\gamma$ annihilation is equal to unity. In Figure 1, we show the gamma-ray annihilation timescale in the jet's comoving frame compared with the dynamical timescale. The cooling timescales of electrons/positrons are also shown, where we see that the synchrotron radiation dominates over the IC radiation because the latter suffers the Klein–Nishina effect. It is important to note that the radiative cooling timescales are shorter than the dynamical timescale at high energies. We therefore may regard that the EM cascade process has reached the quasi-steady state, and calculate its radiation following the method detailed in Liu et al. (2020).

In Figure 2, we show the spectra of the radiation generated in the proton-induced EM cascade and the accompanying (anti) muon neutrino for different model parameters. In both panels of the figure, we assume the luminosity of the prompt emission (i.e., the Band component) in 10 keV–10 MeV to be $L_\gamma = 10^{53}$ erg s $^{-1}$, with a Band function of $\alpha = -1$, $\beta = -2.3$ and $E_b^{\text{obs}} = 1$ MeV followed by an exponential cutoff at 50 MeV. We also assume the proton injection spectrum in the comoving frame to be $Q_p = Q_0 E_p^{-2} \exp(-E_p/E_{p,\text{max}})$, where Q_0 is the normalization factor, which can be determined by $\Gamma^2 \int E_p Q_p dE_p = \eta_p L_\gamma$ with η_p being the baryon loading factor. The spectral shapes of the cascade radiation in general appear similar among different cases. They all approximately follow a power-law function with index $\lesssim 2$ up to the GeV regime. Such a universal spectrum³ can be formed as long as the cascade has been developed sufficiently, i.e., t_{syn} or $t_{\text{IC}} \ll t_{\text{dyn}}$ (in other words, the quasi-steady state is established). The EM cascade

³ Note that, however, if the magnetic field is very strong, charged pions and muons generated in the photomeson process can radiate efficiently at the gamma-ray band via synchrotron radiation before decay, leading to a more complicated spectrum (e.g., Murase et al. 2012).

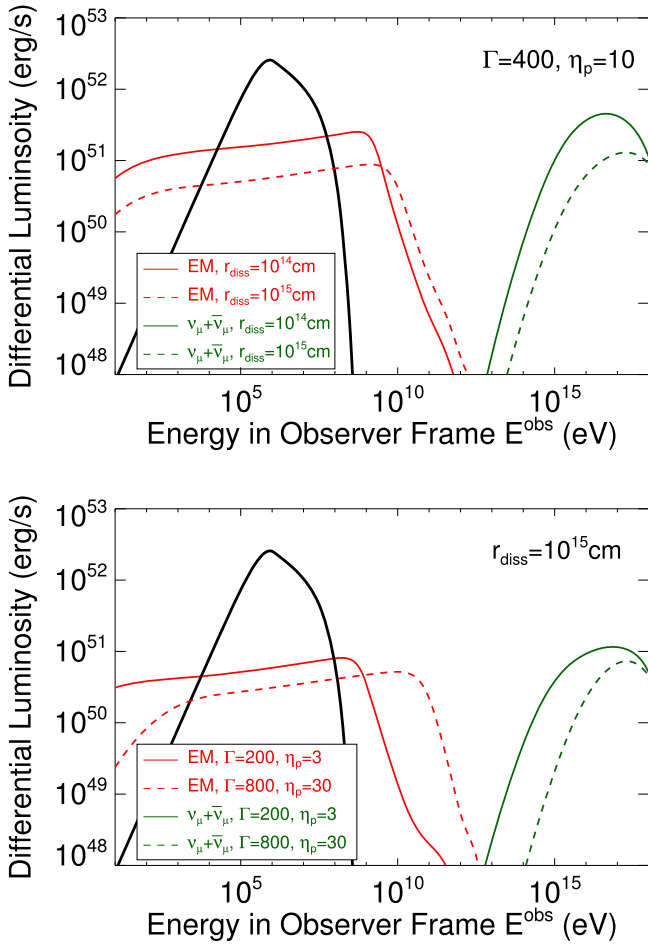


Figure 2. Predicted spectral energy distributions (SEDs) of the EM cascade emission (red curves) and the high-energy neutrino emission (green curves). In the upper panel, we set $\Gamma = 400$ and $\eta_p = 10$. Solid curve and dashed curve are for $r_{\text{diss}} = 10^{14}$ cm and 10^{15} cm, respectively. In the lower panel, we set $r_{\text{diss}} = 10^{15}$ cm. Solid curves show the result for $\Gamma = 200$, $\eta_p = 3$ while dashed curves for $\Gamma = 800$, $\eta_p = 30$. In both panels, the black curves represent the SED of the Band component, with $\alpha = -1$, $\beta = -2.3$ and $E_{\text{peak}}^{\text{obs}} = 1$ MeV. $L_B = L_\gamma = 10^{53}$ erg/s as fixed.

spectrum cannot maintain a power-law behavior to very high energies but will be truncated by the $\gamma\gamma$ annihilation at certain energy around 0.1–100 GeV in the four cases shown, depending on where the opacity of the annihilation, which can be estimated by $t_{\text{dyn}}/t_{\gamma\gamma}$, reaches unity. A larger dissipation radius and/or a higher bulk Lorentz factor leads to a weaker gamma-ray absorption and hence results in a higher truncation energy.

We also see that the flux level of (anti)muon neutrinos and the EM cascade is always comparable. This can be roughly understood as follows. Half of the proton energy lost in the photomeson process goes into π^+ , from which three neutrinos and one positron are created. The other half of the lost proton energy goes into π^0 and further decays into photons. As a result, (anti)muon neutrinos take away 1/8 of the proton energies lost in the photomeson process after considering the neutrino flavor oscillation, while EM particles carry 5/8 of the lost energies. On the other hand, the cascade process redistributes the energy of the first-generation EM products over a broad range of about 6–7 orders of magnitude with a roughly flat spectrum, so that the EM flux at each logarithmic

interval needs be divided by $\ln 10^6 \sim 10$ and finally becomes a few times lower than that of the neutrino flux.

It may be worth mentioning that LHAASO discovered about 5000 photons above 500 GeV within 2000 s after the Gamma-ray Burst Monitor (GBM) trigger (Huang et al. 2022). If some of these photons belong to the prompt emission phase, it would impose another independent, strong constraint on the GRB model: we would expect a combination of a large bulk Lorentz factor and a large dissipation radius in order to make the dissipation region transparent to >500 GeV photons (according to dashed curves in the lower panel of Figure 2), despite the origin of these high-energy photons. Alternatively, it may indicate a multizone picture for the prompt emission of this GRB.

3. Application to GRB 221009A

3.1. Observations of GRB 221009A by Fermi

GRB 221009A triggered Fermi-GBM at 13:16:59.99 UT (T_0) on 2022 October 9 (Veres et al. 2022). The GRB was also detected by Fermi-LAT after 200 s of the Fermi-GBM trigger, despite a large angle ($>70^\circ$) from the LAT boresight at this time (Bissaldi et al. 2022).

GBM has 12 sodium iodide (NaI) and two bismuth germanate (BGO) scintillation detectors, covering the energy range 8 keV–40 MeV (Meegan et al. 2009). We downloaded GBM data of this GRB from the Fermi-GBM public data archive.⁴ For this burst, the detectors selected for our analysis are two NaI detectors (namely $n7$ and $n8$) and one BGO detector (namely $b1$), which have the smallest viewing angles with respect to the GRB source direction. The light curve of GRB 221009A in 10 keV–10 MeV, as observed with GBM is shown in Figure 3. The light curve is obtained by assuming the Band function for the spectrum in a 1.024 s time bin. Due to the extraordinary brightness of this GRB, the pile-up instrumental effect makes the spectrum and flux between $T_0 + 219$ s and $T_0 + 278$ s uncertain, but this would not change our result and conclusion dramatically. Details of the analysis of Fermi-GBM data is shown in Appendix. A.

The Fermi-LAT extended type data for the GRB 221009A were taken from the Fermi Science Support Center.⁵ Only the data within a $14^\circ \times 14^\circ$ region of interest centered on the position of GRB 221009A are considered for the analysis. The publicly available Pass 8 (P8R3) LAT data for GRB 221009A were processed using the Conda fermitools v2.2.0 package, distributed by the Fermi Collaboration. Events of the “Transient” class ($P8R3_TRANSIENT020_V3$; using for the time before $T_0 + 400$ s) and “Source” class ($P8R3_SOURCE_V3$; using for the time after $T_0 + 400$ s) were selected. We assumed a power-law spectrum in the 0.1–500 GeV energy range, accounting for the diffuse Galactic and extragalactic backgrounds. We did not use the data in the time intervals $T_0 + 225$ s to $T_0 + 236$ s and $T_0 + 257$ s to $T_0 + 265$ s considering the pile-up effect due to the extremely high flux at the time (Omodei et al. 2022a).

The Fermi-LAT light curve of GRB 221009A in 0.1–10 GeV is shown in Figure 3. Significant detection of Fermi-LAT on this GRB starts around $T_0 + 200$ s, with three photons recorded in the time interval of $T_0 + 200$ s and

⁴ <https://heasarc.gsfc.nasa.gov/FTP/fermi/data/gbm/daily/>

⁵ <https://fermi.gsfc.nasa.gov>

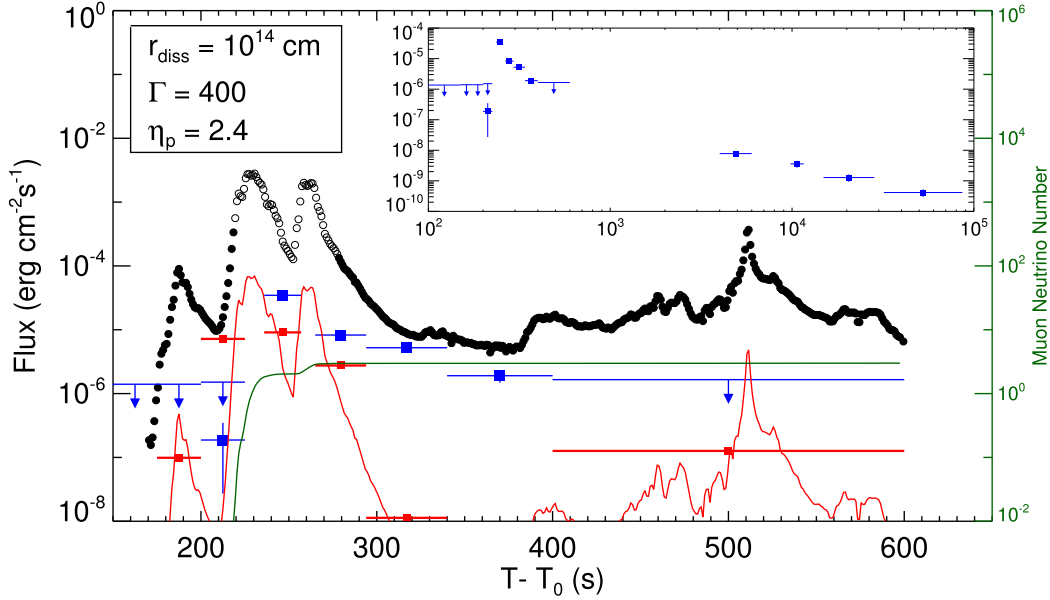


Figure 3. 0.1–10 GeV light curve observed by Fermi-LAT (blue squares or ULs) in comparison with the prediction from the proton-induced cascade emission in the same energy range (the red curve) with $r_{\text{diss}} = 10^{14}$ cm and $\Gamma = 400$. Red horizontal bars shows the predicted average flux in each time bin of Fermi-LAT data. The gaps in 225–236 s and 257–266 s are due to the instrumental pile-up effects and hence the data is dropped. The green curve represents the expected cumulative $\nu_{\mu} + \bar{\nu}_{\mu}$ event number in IceCube, which is normalized to 3 by adjusting the baryon loading factor to be $\eta_p = 2.4$. Black circles show the 10 keV–10 MeV light curve measured by Fermi-GBM, where the open circles represent the data that may be under the influence of the pile-up effects. For reference, the inset presents the complete 0.1–10 GeV light curve observed by Fermi-LAT up to 1 day after the trigger, covering the afterglow phase.

$T_0 + 225$ s with $\text{TS} = 10$, leading to an average energy flux of $1.9 \times 10^{-7} \text{ erg cm}^{-2} \text{ s}^{-1}$ in this interval. Omodei et al. (2022b) further extended the bad time interval (BTI) to $T_0 + 203$ s and $T_0 + 294$ s because of the X-ray and soft gamma-ray pile-up in the anticoincidence detector (ACD). The additional activity of the ACD can lead to misclassification of gamma rays as background. As a result, the flux during the BTI may be underestimated. For the sake of conservation, we choose a strict criteria for detection (i.e., $\text{TS} \geq 25$) in this time interval, resulting in a 95% C.L. UL of $1.5 \times 10^{-6} \text{ erg cm}^{-2} \text{ s}^{-1}$ in 0.1–10 GeV. We will use this UL instead of the aforementioned flux in our calculation later. See Appendix B for more details about Fermi-LAT data analysis.

3.2. Result

Although the measured spectrum of the main component varies with time, we assume a time-independent spectral shape of the Band function for the main component same as the one shown in Figure 2 for simplicity. By normalizing the 10 keV–10 MeV flux to the GBM observation at each time, we can calculate the light curve of the EM cascade emission in 0.1–10 GeV as well as that of the high-energy neutrino emission. We require the predicted 0.1–10 GeV flux at any time bin not to overshoot the flux or the 95% C.L. UL of Fermi-LAT, so that upper limits of the baryon loading factor can be constrained for any given dissipation radius r_{diss} and the bulk Lorentz factor Γ . On the other hand, IceCube’s nondetection of (anti)muon-neutrino events from this GRB provides an independent constraint. However, we note that the UL of the time-integrated neutrino fluence given by IceCube, i.e., $3.9 \times 10^{-2} \text{ GeV cm}^{-2}$ between 1 TeV and 1 PeV, is obtained assuming an E_{ν}^{-2} spectrum. The assumed neutrino spectral shape is inconsistent with the theoretical one predicted for this GRB as shown in Figure 2, which is also pointed out by Murase et al. (2022). Therefore, we instead use the fact that no

track-like event is detected during the GRB as a constraint for the neutrino emission. This condition can be translated to the maximum expected event number of $\nu_{\mu} + \bar{\nu}_{\mu}$ in the range of 1 TeV–1 EeV, denoted by $N_{\nu_{\mu}}$, based on the predicted neutrino flux and IceCube’s effective area for a point-like source at the decl. of this GRB (i.e., the one for $\delta = -5^{\circ}$ – 30° , Aartsen et al. 2020). The 95% C.L. UL for the neutrino flux can be obtained by setting $N_{\nu_{\mu}} = 3$, since the probability of nondetection will be less than 5% for $N_{\nu_{\mu}} > 3$ given that the detection probability follows the Poisson distribution. This can also give us an UL for η_p .

In Figure 3, we compare the 0.1–10 GeV flux of GRB 221009A measured by Fermi-LAT (blue symbols) and the predicted light curve (the red curve), assuming $r_{\text{diss}} = 10^{14}$ cm and $\Gamma = 400$. The predicted light curve for the GeV emission basically follows the trend of the keV–MeV emission, but the amplitude is not linearly scaled. This is because both the proton injection luminosity and the interaction efficiency are proportional to the keV–MeV luminosity. The cumulative $\nu_{\mu} + \bar{\nu}_{\mu}$ event number expected in IceCube is also shown (the dark green curve, corresponding to the vertical axis on the right) whereas the event number at the end of the prompt emission is normalized to 3 by adjusting the value of the baryon loading factor to $\eta_p = 2.4$. In this case, we observe that the expected GeV flux exceeds the UL of Fermi-LAT in 200–225 s by almost 1 order of magnitude. It implies that the GeV gamma-ray observation in this time bin gives a stronger constraint on the baryon loading factor η_p than that given by the neutrino observation.

We repeat the aforementioned calculation for the light curves of the neutrino flux and the GeV gamma-ray flux for different combinations of Γ and r_{diss} , and put the constraint on the baryon loading factor η_p according to the IceCube observation and the Fermi-LAT observation, respectively. The results are shown in Figure 4. Apparently, the constraint from Fermi-LAT

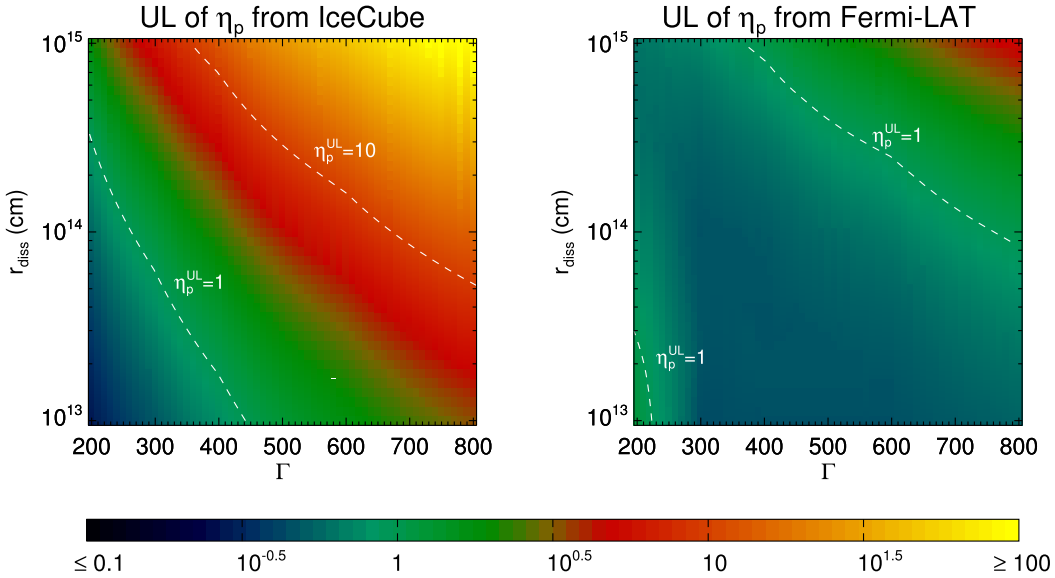


Figure 4. Upper limits of the baryon loading factor η_p at different r_{diss} and Γ . The left panel shows the constraint from IceCube’s observation while the right panel shows the constraint from Fermi Large Area Telescope’s (LAT) measurement.

(the right panel) is generally much more restrictive than that from IceCube (the left panel) except for very small dissipation radii r_{diss} with a relatively low bulk Lorentz factor Γ of the GRB jet. The constraint from IceCube becomes stronger for smaller r_{diss} and Γ , where the pion production efficiency is high. On the other hand, the constraint from Fermi-LAT does not show a clear dependence on these two parameters. This is due to the effect of the $\gamma\gamma$ annihilation. Although the production efficiency of EM particles would be higher for smaller r_{diss} and Γ , the $\gamma\gamma$ absorption becomes stronger in the mean time. These two effects cancel the influences of each other on the GeV gamma-ray flux to certain extent, and lead to a roughly model-independent cascade flux in 0.1–10 GeV. Such a phenomena can be also seen straightforwardly from Figure 2: the cascade flux in the case of $\Gamma = 200$ and $\eta_p = 3$ is generally higher than the case of $\Gamma = 800$ and $\eta_p = 30$, but the integrated flux in 0.1–10 GeV of the latter case is comparable to that of the former case, because the spectrum is truncated before 1 GeV in the former case. As a consequence, the UL of η_p obtained from the Fermi-LAT observation is concentrated in a narrow range $0.5 \lesssim \eta_p^{\text{UL}} \lesssim 1$ for a large area in the parameter space (i.e., $r_{\text{diss}} < 10^{15}$ cm and $\Gamma < 800$). The $\gamma\gamma$ absorption on the gamma-ray spectrum also explains the reason why the constraint from the neutrino observation is stronger than that from the GeV gamma-ray observation for $r_{\text{diss}} \lesssim 10^{14}$ cm and $\Gamma \lesssim 300$, where GeV gamma rays are severely absorbed in the dissipation region but neutrinos can escape.

The small value for the UL of η_p obtained from the Fermi-LAT observation implies a few interesting possibilities. First, the GRB jet may be dominated by leptons and magnetic field. Otherwise, if an efficient baryon loading procedure presents in the GRB jet with $\eta_p > 10$, for example, it would then require a large dissipation radius and a high bulk Lorentz factor, i.e., $r_{\text{diss}} > 10^{15}$ cm and $\Gamma > 800$. Both these two possibilities would favor the internal collision-induced magnetic reconnection and turbulence (ICMART) model (Zhang & Yan 2011), in which the GRB jet is dominated by the Poynting flux and the energy dissipation occurs at a large radius of 10^{15} – 10^{16} cm. Alternatively, the strong constraint on η_p may be circumvented by arguing that GRB is not an efficient proton accelerator, with

either a low acceleration efficiency (i.e., a small maximum proton energy) or producing quite a soft proton spectrum. In this case, we may rule out GRBs as the sources of ultra-high-energy cosmic rays.

4. Conclusion

In summary, if accelerations of energetic protons (or nuclei) take place in GRBs, these energetic particles will inevitably interact with the intense radiation of GRBs in the keV–MeV band via the photomeson process and the BH process. The interactions will yield high-energy neutrinos and induce electromagnetic cascades that would finally form an additional radiation component with a spectrum continuing to the GeV gamma-ray band. Observations by IceCube and Fermi-LAT on GRBs can thus set an upper limit, respectively, for the theoretical neutrino flux and GeV gamma-ray flux. The upper limits can be translated to the constraints on the key model parameters of GRBs such as the energy dissipation radius r_{diss} , the bulk Lorentz factor of the GRB jet Γ , and the baryon loading factor η_p . We analyzed the Fermi-LAT observations on the brightest-ever GRB 221009A and calculated the high-energy neutrino flux and the radiation of the electromagnetic cascade under different combinations of those key model parameters. By comparing the predicted neutrino flux and GeV gamma-ray flux with the measurement of IceCube and Fermi-LAT on GRB 221009A, respectively, we found that the constraint from the GeV observation is stronger than that from neutrino observations. More specifically, for a large area in the $\Gamma - r_{\text{diss}}$ space, we obtained a quite stringent upper limit of the baryon loading factor to be $0.5 \lesssim \eta_p^{\text{UL}} \lesssim 1$. This result may imply that the GRB jet is dominated by leptons and magnetic field or otherwise requires a large dissipation radius and jet’s bulk Lorentz factor. Alternatively, considering a soft proton spectrum or a small maximum energy in the proton spectrum would relax the constraint, but this would disfavor GRBs as the main accelerators of ultra-high-energy cosmic rays.

Finally, we caveat again about the pile-up effect on the Fermi data. We found that the most constraining Fermi-LAT data point is in the time interval between $T_0 + 200$ s and

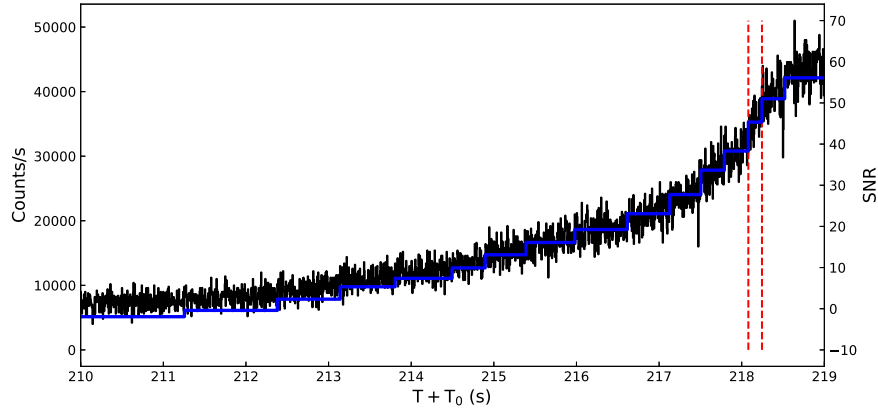


Figure 5. The background subtracted light curves in 8.0–900.0 keV extracted from the TTE data, which use a 5 ms time bin. The blue solid line represents the Bayesian block light curve and the red dashed lines represent the minimum bin size of the obtained block.

$T_0 + 225$ s. The LAT flux at this time bin may be underestimated due to the potential pile-up in ACD. Although we used a conservative upper limit, which is about eight times that of the measured flux, in constraining the baryon loading factor, the true GeV flux at this time interval might be even higher than this conservative upper limit. If so, the obtained constraint would be relaxed to a certain extent, depending on the true GeV flux level. In the worst case, we could use the Fermi-LAT upper limit at the time interval in $(T_0 + 175 \text{ s}) - (T_0 + 200 \text{ s})$ or in $(T_0 + 400 \text{ s}) - (T_0 + 600 \text{ s})$ to constrain the GRB model although the obtained constraints would be weaker than that from the neutrino observation. Nevertheless, regardless of the uncertainty caused by the instrumental effect, we propose that the GeV observation provides an independent way of constraining GRB models, and can be applied to other GRBs in particular those with high keV–MeV flux.

We thank the anonymous referee for the constructive suggestions. This work is supported by NSFC under grants Nos. U2031105 and 12121003, and China Manned Space Project (CMS-CSST-2021-B11).

Appendix A Details of Fermi-GBM Data Analysis

The GBM detectors collected data in two different types: temporally prebinned (CTIME and CSPEC) or temporally unbinned (TTE) data. We downloaded GBM data of this GRB from the Fermi-GBM public data archive.⁶ First, we estimated the time interval of the pile-up effect using the TTE data, and we found that the count rate pile-up started at $\sim T_0 + 219$ s and ended at $\sim T_0 + 278$ s. Then, we extracted the light curves and performed spectral analysis based on the GBM Data Tools (*gbm* package) with the CSPEC data. The light curve in 10 keV–10 MeV was shown in Figure 3, and it was obtained by assuming the Band function for the spectrum in a 1.024 s time bin. For the pile-up interval $T_0 + 219$ s to $T_0 + 278$ s, the light curve was obtained by assuming the Band function with the typical value $\alpha = -1.0$ and $\beta = -2.3$.

To determine the variability timescale of this GRB, we employ the Bayesian block method (Scargle et al. 2013) on the TTE data in a 5 ms time bin (but not including the pile-up interval). The minimum bin size of the obtained blocks is 165

Table 1
Results of the LAT Analysis for GRB 221009A

Time Intervals	TS ^a	Flux _{0.1–10 GeV} (erg cm ⁻² s ⁻¹)	Index ^b
0.0–50.0	3.52	1.35×10^{-6}	2.0*
50.0–100.0	~ 0.0	1.36×10^{-6}	2.0*
100.0–150.0	~ 0.0	1.36×10^{-6}	2.0*
150.0–175.0	~ 0.0	1.40×10^{-6}	2.0*
175.0–200.0	~ 0.0	1.40×10^{-6}	2.0*
200.0–225.0	10.69	$(1.87 \pm 1.60) \times 10^{-7}$	2.76 ± 1.37
236.0–257.0	3777.46	$(3.47 \pm 0.32) \times 10^{-5}$	1.84 ± 0.07
265.0–294.0	899.09	$(8.27 \pm 1.00) \times 10^{-6}$	2.03 ± 0.10
294.0–340.0	700.13	$(5.23 \pm 0.72) \times 10^{-6}$	1.71 ± 0.09
340.0–400.0	172.59	$(1.91 \pm 0.42) \times 10^{-6}$	2.09 ± 0.18
400.0–600.0	~ 0.0	1.65×10^{-6}	2.0*
4000.0–6000.0	182.87	$(7.78 \pm 1.36) \times 10^{-9}$	2.07 ± 0.13
9800.0–11600.0	77.15	$(3.59 \pm 0.92) \times 10^{-9}$	2.16 ± 0.20
14900.0–28300.0	49.05	$(1.28 \pm 0.35) \times 10^{-9}$	2.23 ± 0.24
32000.0–86400.0	34.78	$(4.14 \pm 1.15) \times 10^{-10}$	2.25 ± 0.24

Notes.

^a TS value of each interval; the significance of the GRB is approximate to $\sqrt{\text{TS}}$ σ ; the TS value of the interval that is less than 9 will be estimated as a 95% C.L. UL.

^b The photon index. ULs are calculated with a photon index with $\alpha = 2.0$ (labeled with *).

ms and takes half of the minimum bin size as the variability timescale. As shown in Figure 5, our result yields $\tau_{\text{var}} \sim 82$ ms for this GRB, and implying a maximum dissipation radius of $r_{\text{diff}} = 2\Gamma^2 c \tau_{\text{var}} = 8 \times 10^{14} (\Gamma/400)^2$ cm, which is generally consistent with the range of r_{diff} we explored in this study.

Appendix B Details of Fermi-LAT Data Analysis

We perform an unbinned maximum likelihood analysis, using LAT TRANSIENT and SOURCE events between 100 MeV and 500 GeV, and with a maximum zenith angle of 100° to reduce the contamination from the γ -ray Earth limb. We select a time interval of 0–600 s after the GBM trigger time T_0 , which contains all the gamma rays detected by LAT before the GRB exited its field of view (FOV). The instrument response function (IRF) (*P8R3_TRANSIENT020_V3*)⁷ is used.

⁶ <https://heasarc.gsfc.nasa.gov/FTP/fermi/data/gbm/daily/>

⁷ https://fermi.gsfc.nasa.gov/ssc/data/analysis/documentation/Cicerone/Cicerone_Data/LAT_DP.html

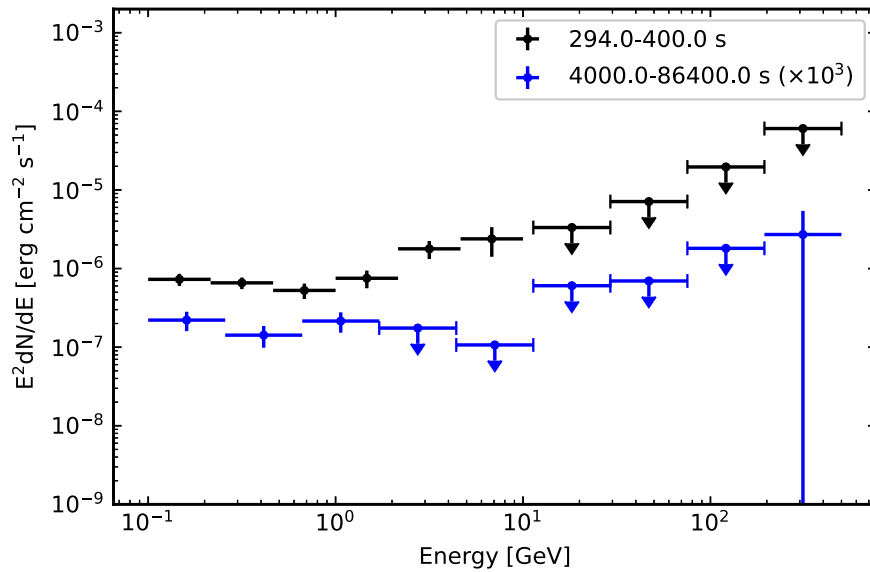





Figure 6. The measured spectrum of LAT for GRB 221009A in 294–400 s (black points) and 4000.0–86400.0 s (blue points). The latter is multiplied by a factor of 1000 for visibility.

The main background component consists of charged particles that are misclassified as gamma rays. It is included in the analysis using the isotropic emission template (“*iso_P8R3_TRANSIENT020_V3_v1.txt*”).

Because the second time that the GRB enters the FOV of LAT is at $\sim T_0 + 4000$ s, the IRF (*P8R3_SOURCE_V3*) and the corresponding isotropic emission template (“*iso_P8R3_SOURCE_V3_v1.txt*”) are used. Also, we consider all the fourth Fermi-LAT source catalog sources (Abdollahi et al. 2020) within 10° center on the GRB. The contribution from the Galactic diffuse emission is accounted for by using the diffuse Galactic interstellar emission template (IEM; *gll_iem_v07.fits*). The parameter of isotropic emission and IEM are left free.

The maximum likelihood test statistic (TS) is used to estimate the significance of the GRB, which is defined by $TS = 2(\ln \mathcal{L}_1 - \ln \mathcal{L}_0)$, where \mathcal{L}_1 is maximum likelihood value for the template including the GRB and \mathcal{L}_0 is the maximum likelihood value without the GRB (null hypothesis). The TS value, spectral index, and the corresponding flux of different intervals for GRB 221009A are shown in Table 1. The measured spectrum of the GeV emission for GRB 221009A is shown in Figure 6 for reference.

ORCID iDs

Ruo-Yu Liu  <https://orcid.org/0000-0003-1576-0961>
 Hai-Ming Zhang  <https://orcid.org/0000-0001-6863-5369>
 Xiang-Yu Wang  <https://orcid.org/0000-0002-5881-335X>

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